

PTO/SB/97 (03-03)
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- 1. 37 CFR 1.28(c) Notification (2 pgs.)
- 2. Fee Transmittal in duplication (2 pgs.)
- 3. Certificate of Transmission (1 pg.)

This collection of information is required by 37 CFR 1.8. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.8 mirrures to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will very depending upon the individual case. Any comments on the amount of time you require to complete this form analyor suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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T730-10



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## CERTIFICATE OF MAILING/TRANSMISSION (37 CFR 1.8(A))

PATENT

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Date: July 30, 2004 Patri Hannell

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

## NOTIFICATION OF ERROR IN PAYMENT OF FEE(S) AS A SMALL ENTITY (37 CFR 1.28(c))

The above noted patent application was filed and prosecuted as a small entity. While the assignee of the patent application is and has always been a small entity (Expanse Networks, Inc is the current assignee of the complete interest), a license was granted to Next Level Communications, Inc for the period of time from December 15, 1999 to January 15, 2002. Applicants erroneously believed that the patent application was still entitled to small entity status during this time frame. Applicant has recently discovered that the license to Next Level Communications, Inc. (possibly a large entity for at least a portion of this time) may have affected their small entity status during this time frame.

Accordingly, Applicant has diligently gathered data related to fees paid as a small entity during the term of the license. The table on the next page summarizes for each applicable action (item and date) the fees paid as a small entity, the current large entity fee, and the current amount owed as a result of small entity payment error.

The above noted patent application is currently entitled to small entity status.

Item	Date	Small Entity Fee Paid	Current Large Entity Fee	Amount to be Paid
Utility Application Filing Fee	2/21/01	\$355	\$770	\$415
Surcharge – Notice to File Missing Parts	2/21/00	\$65	\$130	\$65
TOTAL	\$480			

Please charge the above noted fees to Deposit Account 501535.

Respectfully submitted,

Douglas J. Ryder, Esquire

Reg. No. 43,073

Expanse Networks, Inc. 6206 Kellers Church Road Pipersville, PA 18947
Phone: (215) 766-2100

Phone: (215) 766-2100 Fax: (215) 766-2920 Date: July 30, 2004

Name (Print/Type)

Sionature

Douglas J. Ryder

PTO/SB/17 (10-03)
Approved for use through 07/31/2006. OM8 0651-0032
U.S. Patent and Tradomark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Complete if Known FEE TRANSMITTAL 09/751.349 Application Number 28 DEC 2000 Filing Date for FY 2004 HAMILTON First Named Inventor Effective 10/01/2003. Petent fees are subject to annual revision. MANNING, JOHN **Examiner Name** Applicant claims small entity status. See 37 CFR 1.27 Art Unit 2614 TOTAL AMOUNT OF PAYMENT (\$) 480.00 **T730-10** Attorney Docket No METHOD OF PAYMENT (check all that apply) FEE CALCULATION (continued) 3. ADDITIONAL FEES Check Credit card Money Order Other Large Entity | Small Entity Deposit Account: Fee Code Foo (5) Foo Code Fee Description Deposit Fee Paid 501535 2051 1051 130 65 Surcharge - late filing fee or eath Number Deposit Account 2052 Surcharge - late provisional filing fee or 1052 50 25 EXPANSE NETWORKS, INC. Name Non-English specification 1053 130 1053 The Director is authorized to: (check all that apply) 1812 2,520 For filling a request for ex parte reexamination 1812 2,520 Charge fee(s) indicated below ✓ Credit any overpayments 1804 Requesting publication of SIR prior to 1804 920 920\* Charge any additional fee(a) or any underpayment of fee(s) Charge fee(s) indicated below, except for the filing fee 1805 1,840 1805 1.840 Requesting publication of SIR after to the above-identified deposit account. Examiner a 1251 110 2251 Extension for reply within first month **FEE CALCULATION** 1252 420 2252 210 Extension for reply within second month 1. BASIC FILING FEE 1253 950 2253 475 Extension for reply within third month arge Entity Small Entity Fee Description Fee Pald 1254 1,480 2254 740 Extension for reply within fourth month rco ree Code (\$) 2255 1,005 Extension for reply within lifth month 1255 2,010 1001 770 2001 385 Utility filling fee 1401 330 2401 165 Notice of Appeal 1002 340 2002 170 Design filing fee 1003 530 2003 265 1402 330 2402 165 Filing a brief in support of an appeal Plant filing fee 1004 770 2004 385 Reissue filing fee 1403 290 2403 145 Request for oral hearing 1005 160 2005 1451 1.510 1451 1,510 Petition to institute a public use proceeding Provisional filing fee 55 Petition to revive - unavoidable 1452 110 2452 SUBTOTAL (1) (\$) 2453 1453 1,330 665 Petition to revive - unintentional 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE 1501 2501 1,330 655 Utility issue fee (or reissue) Fee Paid Extra Claims below 1502 480 2502 240 Dasign issue fee **Total Claims** 14 × -201 1503 640 2503 320 Plant issue foo Independent Claims - 3°° = 1460 130 1460 130 Petitions to the Commissioner Multiple Dependent 1807 50 1807 50 Processing fee under 37 CFR 1.17(q) arge Entity Small Entity 1806 180 1806 180 Submission of Information Disclosure Stmt Fee Fee Code (\$) Fee Description Code (\$) Recording each patent assignment per 8021 40 8021 40 property (times number of properties) 1202 Claims in excess of 20 18 2202 9 1809 770 2809 Filing a submission after final rejection (37 CFR 1.129(a)) 385 1201 86 2201 43 Independent claims in excess of 3 1203 290 2203 145 Multiple dependent claim, if not paid 385 For each additional invention to be examined (37 CFR 1.129(b)) 1810 770 2810 1204 88 2204 43 Reissue independent claims over original patent 1801 2801 385 Request for Continued Examination (RCE) 770 2205 \*\* Reissue claims in excess of 20 1802 900 1802 Request for expedited examination 1205 18 and over original patent of a design application 37CFR 1.28(c) Notification Other fee (specify) (\$ SUBTOTAL (2) \*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 480.00 ⇔or number previously paid, if greator; For Roissues, see above Complete (# applicable)) SUBMITTED BY

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43.073

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